

Uniting Inflation with Dark Energy in f(R) gravity & Axion DM

- Introduce an effective $f(R)$ gravity theory alongside a misalignment model Axion scalar field.
- As a result, we can describe inflation, dark matter, & late-time acceleration.
- (- Model also predicts a stiff matter era preceding inflation)
- We will touch on two prerequisites before looking at the model:
 - ① Basics of Axions
 - ② Brief intro to $f(R)$ gravity

① Axions

- QCD suffers from "Strong CP Problem."

$$L_{\text{QCD}} = \frac{\Theta_{\text{QCD}}}{32\pi^2} \text{tr}[G_{\mu\nu} \tilde{G}^{\mu\nu}]$$

allowed in Lagrangian, but no reason why related phenomena are not found.
 \Rightarrow fine-tuning problem.
- Axion is a proposed particle that pseudoscalar coupling to $G\tilde{G}$. Dynamically sets $\Theta_{\text{QCD}} = 0$ via QCD non-perturbative effects (instantons).
 - Original Axion model introduces a complex scalar field & L is required to be invariant under $U(1)_{\text{PA}}$ symmetry, "global"
 - Scalar ϕ has a symmetry breaking potential, spontaneously broken at some scale.
 - Axion is the angular d.o.f. of this ϕ :

$$\phi = x e^{i\theta/\alpha}$$

- non-minimal coupling b/w axion and a R^2 ($G R$) $\propto R$ primordial origin.
- Will find a de Sitter expansion at late times. Λ for this theory has allowed values close to existing estimate for ~~Λ_0~~ Λ_0 .
- Note: Assume $F(R)$ for all calculations

- CP violating term. Gives rise to nEDM $\sim 10^{-16} \Theta_{\text{QCD}} e \text{ cm}$; current constraints say $\Theta_{\text{QCD}} \lesssim 10^{-10}$
- Axion mass

$$m_{a,\text{QCD}} \approx 6 \times 10^{-6} \text{ eV} \left(\frac{10^{12} \text{ GeV}}{\text{far/c}} \right)$$

from Chiral P.T. mostly model independent prediction.
 By far \rightarrow DM candidate.

 - far-axion decay const.
 - C - "color anomaly,"
- $U(1)_{\text{PA}}$ acts as a Chiral rotation
- $V(\phi) = \lambda (|\phi|^2 - \frac{f_a^2}{2})^2$



- Instantons & Axion potential

- PQ rotation on a field x_i w/ chg $Q_{PQ,i}$:
 $x_i \rightarrow e^{iQ_{PQ,i}\phi/f_a} x_i$
 - Classically, \mathcal{L} is invariant under PQ rotation, but PQ rotations are anomalous at the Quantum level. This shifts the allowed form \mathcal{L}_{QCD} by some amount:
- $$S \rightarrow S + \int d^4x \frac{C}{32\pi^2 f_a} \text{Tr}[G_{\mu\nu} \tilde{G}^{\mu\nu}]$$
- \mathcal{L}_{QCD} doesn't affect classical EOMs, but does affect the vacuum structure, which depends on Θ_{QCD} (instantons & G-vacua).
 - Vacuum energy is
 $E_{vac} \propto \cos(\Theta_{QCD}) \sim \Theta_{QCD}^2$
 - Topologically distinct G-vacua means ∇ transitions b/w them; E_{vac} can't be minimized. Introduction of axion, which couples to $G\tilde{G}$, means E_{vac} now depends on $(\Theta_{QCD} + C\phi/f_a)$.
 - Shift symmetry of ∇ allows us to absorb contributions to ∇E_{vac} , so
 $E_{vac} \propto \cos(C\phi/f_a)$
Dependence on dynamical field ϕ
 $\Rightarrow E_{vac}$ can be minimized by GOM.
 - QCD instantons generate axion potential:
 $V(\phi) = M_\phi \Lambda_{QCD}^3 [1 - \cos(\frac{N \omega \phi}{f_a})]$

where cosine dependence comes from Θ_{QCD} dependence of E_{vac} in lowest order instanton calculation.

- Chiral; use ∂_5 if x_5 is a spinor
- \mathcal{L} inv. implies shift symmetry $\phi \rightarrow \phi + \text{constant}$
- $C\delta_{ab} = 2 \text{Tr}(Q_{PQ} T_a T_b)$
 T_a generators of $SU(3)$ reps. of fermions.
"Color Anomaly"

Note: Color anomaly sets # of vacua ϕ has in $[0, 2\pi f_a]$. Periodic symmetry $\Rightarrow C \in \mathbb{Z}$.
 $C = N \omega$, domain wall #.

- Λ_{QCD} is the QCD confinement transition scale
- ~~\Rightarrow~~ non-pert. effects switch on at some scale & induce potential / mass
- \hookrightarrow shift symmetry broken to discrete symm. $\phi \rightarrow \phi + 2\pi f_a/c$

Quick recap:

- Global $U(1)_{\text{PA}}$ symmetry for classical action spontaneously broken at some scale f_a , leads to angular d.o.f. ϕ/f_a w/a shift symmetry
- $U(1)_{\text{PA}}$ symmetry is anomalous. Explicit breaking generated by quantum effects (constantns) at some scale Λ_a (non-perturbative effects scale)
- ϕ being angular means quantum effects must respect the residual symmetry $\phi \rightarrow \phi + 2\pi f_a$. Thus axions obtain a periodic potential when non-perturbative effects switch on.
- $V(\phi) = \Lambda_a^4 \left[1 - \cos \left(\frac{m_a \phi}{f_a} \right) \right]$
 - A model-independent approach to studying axions is possible assuming small displacements $\phi \ll f_a$
- $V(\phi) \approx \frac{1}{2} m_a^2 \phi^2$, $m_a^2 = \frac{\Lambda_a^4}{f_a}$

Cosmological Axion Field

- For a minimally coupled real scalar field in GR, we have
- $S_\phi = \int d^4x \sqrt{-g} \left[-\frac{1}{2} (\partial\phi)^2 - V(\phi) \right]$
- Varying w.r.t ϕ yields the EOM

$$\square\phi - \frac{\partial V}{\partial\phi} = 0 ; \quad \square = \sqrt{-g} \partial_\mu (\sqrt{-g} g^{\mu\nu} \partial_\nu)$$

- In turn, this yields

$$\ddot{\phi} + 3H\dot{\phi} + m_a^2\phi = 0$$

- We now want to consider Axions with the phenomenology of the Misalignment Model

- simple choice for axion potential. Chosen $U(x)$ minimum @ $x = 0$.

- Λ_a usually $\ll f_a$, making m_a parametrically small.

- only valid after sym. breaking and non-pert. effects switched on

- Simplified, misaligned corresponds to the scenario where the axion field has a coherent initial displacement.
- ~~-~~ Later we'll see how this determines cosmological behavior of Axions.

- In short, axion transitions from cosm. const-like behavior to oscillating curve) $w=0$ and being more like ordinary matter.

(2) f(R) Gravity

- Historical Motivation

- Nonrenormalizability of GR
- Calculations suggested that gravity may need to be supplemented by higher-order curvature invariants.
- Λ CDM, despite successes, still somewhat lacking; can be thought of as an empirical fit to data, less theory motivated
- Basic Idea: generalize L in the Einstein-Hilbert action

$$S_{EH} = \frac{1}{2\kappa} \int d^4x \sqrt{-g} R$$

$$\hookrightarrow S = \frac{1}{2\kappa} \int d^4x \sqrt{-g} f(R)$$

with $f(R)$ some function of the Ricci scalar.

- Despite possibly hand-wavy motivation/questions reasons as to why R over other invariants, it is one of the most straightforward ways to tackle (modified) gravity.
- That being said, it's still closer to a toy theory, useful for exploring principles & limitations of modified gravity.

- Can also address cosmological accel. w/o Dark Energy

- Choose R and not other invariants like $R_{\mu\nu}R^{\mu\nu}$ because

- ① They're simple + still cover bases of higher-order gravity
- ② ~~Despite being~~ Despite being an effective theory, and despite concerns about where corrections would have relevant effects, observed data corresponding to some energy scale implies inevitability that some parameter(s) or result(s) will appear "unnatural" (wondering?)

- Action & Field Eqns

- 3 principles - variational principles

① Metric - Standard principle

② Palatini - metric & connection are assumed indep. & both varied, assuming the matter action indep. of connection

③ Metric-Affine - Palatini but w/o assumption of matter action indep. of connection.

- ~~Each~~ Each formalism has their own benefits & drawbacks. For our purposes, we only need the metric formalism.

- Starting with a full action

$$S = \frac{1}{2K} \int d^4x \sqrt{g} f(R) + S_m(g_{\mu\nu}, \psi)$$

Varying w.r.t. the metric yields

$$\begin{aligned} f'(R) R_{\mu\nu} - \frac{1}{2} f(R) g_{\mu\nu} - [\nabla_\mu \nabla_\nu - g_{\mu\nu} \square] f'(R) \\ = K T_{\mu\nu} \end{aligned}$$

modulo surface terms.

- as R contains 2nd derivatives of the metric, there are 4th order pdes. For $f(R) \sim R$, we re-obtain standard GR.

- Regarding maximally symmetric solns:

Trace of above eqns yields

$$f'(R) R - 2f(R) + 3\square f' = KT, \quad T = g^{\mu\nu} T_{\mu\nu}$$

for ~~the~~ R曲率标, $T=0$, one can obtain

$R=0$: Minkowski

$R=C$: (anti) de Sitter

- Interestingly, possible to obtain

- Ψ stands for all matter fields

$$T_{\mu\nu} = \frac{-2}{\sqrt{g}} \frac{\delta S_m}{\delta g^{\mu\nu}}$$

- Note: For SEM, surface terms are a total variation of some quantity, but not so for $f(R)$. Since S has higher order derivatives of the metric, it should be possible to fix more D.O.F. on the boundary than just those of the metric.

$$\Rightarrow T \neq 0 \Rightarrow R = 0 \text{ or constant}$$

f(R) Gravity with Axion DM

- Consider a vacuum f(R) grav. thy. with an axion DM scalar field. Non-minimal coupling b/w scalar ϕ & higher powers of R:

$$S = \int d^4x \sqrt{-g} \left[\frac{1}{2\kappa^2} f(R) + \frac{1}{2\kappa^2} h(\phi) G(R) - \frac{1}{2} (\partial^\mu \phi)(\partial_\mu \phi) - V(\phi) \right]$$

- for simplicity, let

$$\mathcal{F}(R, \phi) = \frac{1}{\kappa^2} f(R) + \frac{1}{\kappa^2} h(\phi) G(R)$$

- Varying w.r.t the metric (using metric formalism), we find two equations

$$3H^2 F = \frac{1}{2} \dot{\phi}^2 + \frac{RF - \mathcal{F} + 2V}{2} - 3HF$$

$$-3FH^2 - 2\dot{H}F = \frac{1}{2} \dot{\phi}^2 - \frac{RF - \mathcal{F} + 2V}{2} + \ddot{F} + 2H\dot{F}$$

- Varying w.r.t ϕ , the axion EoM is then

$$\ddot{\phi} + 3H\dot{\phi} + \frac{1}{2} (-\mathcal{F}'(R, \phi) + 2V'(\phi)) = 0$$

with prime meaning $\frac{d}{d\phi}$.

- The choice for f(R) gravity is

$$f(R) = R + \frac{1}{36H_0^2} R^2$$

- The nonminimal coupling choice depends on axion phenomenology & late time behavior.

Assume

$$h(\phi) \sim \frac{1}{\phi^s}, s > 0; G(R) \sim R^\gamma, 0 < \gamma < 0.75$$

where $F = \frac{\partial \mathcal{F}}{\partial R}$

- akin to well-known R^2 or Starobinsky models

Description of Inflation & Dark Energy

- Recall earlier discussion of Axion properties.
In addition, ~~we~~ we require one more assumption:

$$2V(\phi) \gg \mathcal{F}(R, \phi) \quad \text{or} \quad 2V(\phi) \gg \frac{1}{k^2} h(\phi) G(R)$$

The choices for $h(\phi)$ & $G(R)$ we satisfy this constraint on the dynamics of ϕ .

- The assumption means ϕ EoM, is mainly affected by $V(\phi)$ during/after inflation. Thus we can use misalignment phenom.
- We consider the relationship b/w H and m_ϕ as the Universe evolves
 - During inflation, $H \gg m_\phi$ and the potential is $V(\phi) \approx \pm M_P^2 \phi^2(t)$. The field is overdamped & the init. conditions $\dot{\phi}(t_i) = S \ll 1$, $\phi(t_i) = \phi_0 \theta_0$ hold.
So ϕ is frozen during inflation & contributes a small cosm. const term.

Numbers:

$$\begin{aligned} H_I &= \mathcal{O}(10^{13}) \text{ GeV} \quad \text{for low-scale infl. scenario} \\ f_a &\sim \mathcal{O}(10^{11}) \text{ GeV} \\ \theta_0 &\sim \mathcal{O}(1) \\ m_\phi &\sim \mathcal{O}(10^{-12}) \text{ eV} \end{aligned} \quad \left. \begin{array}{l} \text{Pheno. reasons. Most} \\ \text{plausible values} \end{array} \right\}$$

Implyng

$$\begin{aligned} 2k^2 V(\phi) &\sim \mathcal{O}(3 \times 10^{-48}) \text{ eV} \\ \text{while for } \delta &\sim \mathcal{O}(3), \quad 0 < \gamma < 0.75, \\ h(\phi) G(R) &\sim \mathcal{O}(2 \times 10^{-88}) \text{ GeV} \end{aligned}$$

so the req'd constraints still hold.

← Axion field evolution

- i denotes the cosmological era
- t_i is cosmic time during inflationary period
- θ_0 is init. misalign. angle.

- H_I from Planck \rightarrow BICEP 2
- θ_0 is init. misalign. angle.

- As $m \sim H$, axion field oscillation begins & continues to $m_a \gg H$, and we seek slowly varying ~~not~~ oscillating soln's

$$\phi(t) = A(t) \cos(m_a t)$$

Plugging into EOM and working to leading order in ϵ , we obtain

$$-\frac{2 \dot{A}(t) \sin(m_a t)}{m_a} - \frac{3 A(t) H(t) \sin(m_a t)}{m_a} = 0$$

$$\underline{H = \frac{\dot{a}}{a}} \rightarrow \frac{\partial A}{A} = -\frac{3}{2} \frac{\partial a}{a} \Rightarrow A \sim a^{-3/2}$$

~~- given the density energy density~~

$$\rho_a \sim \frac{1}{2} \dot{\phi}^2 + \frac{1}{2} m_a^2 \phi^2$$

we find to leading order

$$\boxed{\rho_a \sim A^2 \sim a^{-3}}$$

CDM
Dynamics
after inflation

\Rightarrow Evolution of $H(t)$ does not depend on ϕ .

- $f(R)$ alone determines dynamics of spacetime, with ϕ as DM.
- Sineoidal time dep. of ϕ for $H \ll m_a$
 $\text{mass } \langle \omega_a \rangle \approx 0 \quad \langle \omega_{\text{eff}} \rangle \approx 0 \text{ for } f$,
 indep of $H(t)$.

- $\frac{\dot{A}}{m_a} \sim \frac{H}{m_a} \sim \epsilon \ll 1$
 Satisfied by $A(t)$

- for Gravity's Role in the model

- Consider the first of the two Friedmann eqs.

for R^2 gravity, it becomes

$$3H^2 \left(1 + \frac{1}{18H_i^2} R + h(\phi) G'(R) \right) \approx \\ \frac{1}{2} k^2 \dot{\phi}^2 + \frac{1}{72H_i^2} R^2 + 2V k^2 - \frac{1}{6H_i^2} H\dot{R} \\ - 3H\dot{R} G''(e) h(\phi)$$

- During inflation, $R \sim 12H_i^2 \sim \mathcal{O}(1.43 \times 10^{45}) \text{ eV}$,
 $\approx G'(R) \sim R^{2-1}$ is highly suppressed (LHS).
Same for $G''(R)$ on RHS.

- For $\frac{1}{2} k^2 \dot{\phi}^2$, recall $\dot{\phi}(t_i) \ll 1$. For $\dot{\phi}(t_f) \sim 10^{-10}$,
 $\frac{1}{2} k^2 \dot{\phi}^2 \sim \mathcal{O}(8 \times 10^{-74}) \text{ eV}^2$
 $2V k^2 \sim \mathcal{O}(3 \times 10^{-38}) \text{ eV}^2$
 $\frac{1}{72H_i^2} R^2 \sim \mathcal{O}(2 \times 10^{62}) \text{ eV}^2$

$$\Rightarrow 3H^2 \left(1 + \frac{1}{18H_i^2} R \right) \approx \frac{1}{72H_i^2} R^2 - \frac{1}{6H_i^2} H\dot{R}$$

using $R = 12H^2 + 6\dot{H}$ for FRW, reduces to

$$\ddot{H} - \frac{\dot{H}^2}{2H} + 3H_i^2 H = -3H\dot{H}$$

$$\xrightarrow{\text{Slow Roll}} 3H_i^2 H = -3H\dot{H}$$

$$\Rightarrow H(t) = H_0 - H_i^2 t$$

→ Quasi-deSitter evolution

- We see (approx) independence of inflationary evolution from axion behavior/evolution.

(Aside: maybe quick discussion on R^2 cosmology behavior/characteristics, time allowing)

- Dark Energy / Late time Era

- In this regime, even, R is very small. Friedmann eq. becomes approx:

$$3H^2 h(\phi) G'(R) \approx -3H\dot{R}^2 G''(R) h(\phi)$$

$$\hookrightarrow R H \approx (1-\gamma)\dot{R}$$

~~then~~

$$\Rightarrow H(t) \approx$$

$$\frac{\sqrt{2(1-\gamma)} \sqrt{\Lambda}}{\sqrt{3-4\gamma}} \tanh \left[\frac{1}{2} \left(\frac{\sqrt{2(3-4\gamma)}}{\sqrt{1-\gamma}} \Theta \sqrt{\Lambda} + \frac{\sqrt{2(3-4\gamma)} \sqrt{\Lambda} t}{\sqrt{1-\gamma}} \right) \right]$$

$$\hookrightarrow H(t_0) \approx \frac{\sqrt{2(1-\gamma)} \sqrt{\Lambda}}{\sqrt{3-4\gamma}} \quad (\star)$$

is approximately constant at late times

- Accelerating evolution also seen in the form of the deceleration parameter

$$q = -1 - \frac{\ddot{H}}{H^2} :$$

$$q = -1 - \frac{(3-4\gamma) \cosh^2 \left[\frac{1}{2} \left(\frac{\sqrt{2(3-4\gamma)}}{\sqrt{1-\gamma}} (\Theta \Lambda + \sqrt{\Lambda} t) \right) \right]}{2(1-\gamma)}$$

* Axion coupling $h(\phi) G'(R)$ thus affects late-time evolution in a dominant way, specifically ~~controlling~~ leading to unusual acceleration, providing a Dark Energy Era.

- we ignore the term $\sim H^3$, as it's subdominant at late times

- Λ & Θ are integration constants

- doSithr evolution
i.e., accelerating expansion

- negative as t is large, thus implying expansion.

- $w_{eff} = -1$ as well;
DE-like E.O.S.

- In a phenomenological context, consider that today $H_0 \sim 10^{-33} \text{ eV}$. for $\gamma = 0.74$,
$$\Lambda \sim 7.7 \times 10^{-68} \text{ eV}^2$$

$$\gamma = 0.2 \rightarrow \Lambda \sim 1.3 \times 10^{-66} \text{ eV}^2$$
 Generally:

$$0 < \gamma < \gamma_{\text{crit}}^{(2)} = 0.74, \quad 1.5 \times 10^{-66} \text{ eV}^2 < \Lambda < 7.69 \times 10^{-68} \text{ eV}^2$$
 close to observed value of $\sim 10^{-66} \text{ eV}^2$
 - Λ much smaller as $\gamma \rightarrow 0.75$

- Possibility of equation (8) is the relation b/w H_0 and Λ ? Interestingly, given $\Lambda_0 \sim H_0^2$ for present-day cosm. constant.

Note: Similar phenomenology from a pure f(R) model w/o non-minimal coupling

$$S = \int d^4x \sqrt{-g} \left[\frac{1}{2\Lambda} (R + \frac{1}{3cH_0^2} R^2 - \delta R^2) - \mathcal{L}_{\text{Matter}} \right]$$

• Summary

- $f(R)$, R^2 gravity + Axion scalar field effective theory. Unifies inflation era w/ DE era, describes DM.
 - Axion frozen to primordial era at early times; Starobinsky model \rightarrow quasi-de Sitter evolution
 - Axion oscillation + slow-varying evolution, $a \sim a^{-3}$ \rightarrow DM behavior, indep. of background Hubble rate
 - At late times, $h(\infty)G(R)$ dominates, $H \sim \text{de Sitter} \rightarrow$ Cosm. const + accelerating universe.
- Phenomenological considerations make this effective thy. a possibility an interesting possibility.